University Compliance Services

Mission

Create an effective service-focused compliance program which is aligned with the university's strategic vision to prevent illegal and unethical behavior and reasonably respond to adverse events.

CORE ACTIVITIES

- Risk Assessment

- Policy Management

- Training and Education

- Controls Development

- Monitoring, Capture, and Investigation

- Internal and External Reporting

- Subject Matter Program Development and Assessment

PERFORMANCE EXPECTATIONS

- Have ongoing conversations with campuswide compliance risk leaders to ensure that all significant compliance risks are identified

- Communicate compliance risks and mitigation activities to Vanderbilt's leaders truthfully, accurately, and completely in a timely, appropriate manner

- Ensure that all significant compliance risks are identified, prioritized, mitigated, tracked, and documented

- Maintain focus on Vanderbilt's mission and align compliance program activities with the Chancellor's vision, strategy, and action plans

- Live and encourage Vanderbilt's values

NO GAPS. NO WASTE. NO SURPRISES.

Compliance Hotline

Background

The Compliance Hotline was established to provide individuals with an avenue to voice ethical, integrity, safety, security, and compliance concerns anonymously.

FUNCTIONS

- May be used by anyone including, but not limited to, students, faculty, researchers, staff, patients, alumni, suppliers and contractors

- Operated 24 hours a day, seven days a week by an independent, third-party provider

- May be used via toll-free telephone call or by submitting a report online

- University Compliance Services facilitates the case management process to its conclusion, engaging other University parties with a legitimate need to know

BENEFITS

- Signals Vanderbilt's commitment to ethical conduct and cultivates a culture of integrity

- Protecting the identity of the reporter increases reporting by lowering the barriers for reporting violations or concerns

- Gathers valuable information about potential risks that can be used when engaging in enterprise risk management

- Leads to earlier detection of issues as it provides an avenue to raise potential issues before they escalate into a serious problem.

www.vanderbiltcompliancehotline.ethicspoint.com
(844) 814-5935

Conflict of Interest and Commitment Management

Help
Executive Administrators, Faculty, and Staff

Manage
Conflicts of Interest and Conflicts of Commitment

Protect
Nonprofit Status, Accreditation, Research Funding, and Reputation

Primary Areas of Responsibility

- Board of Trust and Executive Administration annual disclosure campaign

- Faculty, staff, and postdoc annual disclosure campaigns

- Board of Trust Audit Committee biannual reports

- University Conflicts Committee meetings

- Sponsored research COI review and management

- Training on federal COI regulations and related topics

- Audit request and investigations

Questions? Email coi@vanderbilt.edu

Youth Protection

Background

The Protection of Minors culture of safety starts with compliance, best practices and reporting concerns when engaging with youth in any VU operation.
To achieve the culture of youth safety, we collectively:

- Follow our policies
- Are child protectors
- Report occurrences and concerns
- Understand 90% of perpetrators are individuals known to the child
- Routinely talk about safety and prevention
- Hold each other accountable
- Understand and address behavior red flags

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OUR PRESENT

- One of the first institutions to institute a Protection of Minors policy in 2013 and allocate a dedicated position to implement the policy oversight. Added a second position in 2023 to focus on increased program monitoring and direct operations.

- Operates an in-house integrated compliance Web Application tracking tool for required youth program registration and individual compliance.

- Active compliance management and consultation to over 150 university and student organization programs serving youth, estimating engagement with over 50,000 youth annually.

- Incident management for reported child abuse and any safety concern to a child.

OUR GROWTH

- In partnership with the Office of Health and Wellness, Summer 2024 roll out of a standardized medication management and oversight policy for day and residential youth programs.

- FY25 enhancement in specialized training available to program personnel in youth mental health, effective supervision, and EDI.

- Annual Program Renewal project to forecast and support planning for programs.

- Improvement in youth-program focused campus emergency planning.

- Identify and empower leadership at the School and department level for POM Liaisons.

Contact Us

protectionofminors@vanderbilt.edu